



## Additionality

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## CHAPTER 13: ADDITIONALITY

The verification of additionality is to take place at three points in time: ex ante, at mid-term and at the end of the period.

### EX ANTE VERIFICATION

As indicated in table in this chapter, on the basis of information provided by the UK authorities, the Commission services and the UK authorities have determined the annual average of national public eligible expenditure to be maintained in the period 2000-2006 in the sum of all Objective 1 regions at 5547.7 million € (at 1999 prices). This is an increase of 5.5 % compared with the average expenditure of the period 1994-1999. This is consistent with the projected annual average growth in general government receipts of between 2000/1 and 2003/4 of 4.8% contained in the updated Convergence Programme assessed by the Commission and the Council at the beginning of 2000.

The UK authorities will provide the Commission with appropriate information and inform the Commission at any point during the programming period of developments likely to call into question its ability to maintain this level of expenditure.

### VERIFICATION AT MID-TERM

Three years after approval of the programming document, but as a general rule no later than 31 December 2003, the Commission should be able to make an assessment of compliance with additionality requirements as determined ex ante. Additionality is regarded as verified if the annual average of national public eligible expenditure in the years 2000 to 2002 has at least reached the level of expenditure agreed on ex ante. The submission of no or methodologically insufficient information shall be regarded as non-compliance. Therefore, the UK authorities will present information according to the following calendar:

- by 31 July 2003: presentation of aggregate and annual tables with final data on the years 2000 and 2001 as well as provisional data for the year 2002;
- by 31 October 2003: if necessary, methodological improvements on the basis of the Commission's comments;
- by 31 December 2003: deadline for the submission of any additional information.

If this has not taken place in a satisfactory way, the Commission will not take a decision on the mid-term review. In exceptional and justified cases and in order to avoid a delay in the programming process, the Commission will introduce a clause into the decision on the mid-term review suspending new commitments until all information regarding the mid-term verification of additionality has been provided.

The UK authorities will inform the Monitoring Committee of the results of the verification. After the verification at mid-term and on the basis of these results, the UK authorities and the Commission can agree on a revision of the level of expenditure targeted for the rest of the period if the economic situation has resulted in developments in general government total current receipts which differ significantly from those expected ex ante. In this case, an update of the 1994-1999 table, which included some provisional or estimated data at the time of the ex ante verification, might be needed. The request for a revision can be made on either the Member State's or the Commission's initiative.

### VERIFICATION AT THE END OF THE PERIOD

A verification shall take place before 31 December 2005. Additionality is regarded as verified if the annual average of national public eligible expenditure in the years 2000 to 2004 has at least reached the level of expenditure agreed on ex ante or revised at mid-term. The submission of no or

methodologically insufficient information shall be regarded as non-compliance. Therefore, the UK authorities will present information according to the following calendar:

- by 31 July 2005: presentation of aggregate and annual tables with final data on the years 2000 to 2003 as well as provisional data for the year 2004;
- by 31 October 2005: if necessary, methodological improvements on the basis of the Commission's comments;
- by 31 December 2005: deadline for the submission of any additional information.

The UK authorities will inform the Monitoring Committee of the results of the verification which will be taken into account in the preparations of the subsequent programming period.

The following table illustrates past and estimated future national and EU public or equivalent structural expenditure for the Objective 1 region of Cornwall. Since expenditure in England is functionally rather than geographically attributed, the figures are based on per capita estimates. In accordance with Article 11 of Regulation 1260/1999, additionality will be verified for the sum of the United Kingdom's Objective 1 areas.

## FINANCIAL TABLE SUMMARISING PUBLIC OR OTHER EQUIVALENT STRUCTURAL EXPENDITURE IN OBJECTIVE 1 REGIONS

### CORNWALL OBJECTIVE 1 SPD

Million euro, 1999 prices

1	Annual Average 1994-1999						Annual Average 2000-2006					
	Total eligible public exp.	Of which public enterprises	CSF		Not EU co-financed	Total exc EU Col 2 less Col 4	Total eligible public exp	Of which public enterprises	CSF		Not EU co-financed	Total exc EU Col 8 less Col 10
			EU	National					EU	National		
	Nat + EU	Nat + EU	EU	Nat	Nat	Nat	Nat + EU	Nat + EU	EU	Nat	Nat	Nat
2	3	4	5	6	7	8	9	10	11	12	13	
<b>1. Basic Infrastructure</b>	<b>84.4</b>	<b>0.0</b>	<b>3.7</b>	<b>3.7</b>	<b>0.0</b>	<b>80.7</b>	<b>90.3</b>	<b>0.0</b>	<b>19.3</b>	<b>20.8</b>	<b>0.0</b>	<b>71.0</b>
Transport	60.1		0.5	0.5		59.6	56.0		11.3	12.8		44.7
Telecommunications (capital)	0.0					0.0	0.0					0.0
Energy (capital)	6.2					6.2	7.7					7.7
Environment & Water (capital)	18.0		3.2	3.2		14.8	26.6		8.0	8.0		18.6
Health (capital)	0.0					0.0	0.0					0.0
<b>2. Human Resources</b>	<b>121.1</b>	<b>0.0</b>	<b>1.4</b>	<b>1.6</b>	<b>0.0</b>	<b>119.7</b>	<b>125.9</b>	<b>0.0</b>	<b>8.6</b>	<b>9.9</b>	<b>0.0</b>	<b>117.3</b>
Education	84.8					84.8	79.6					79.6
Training	30.7		1.4	1.6		29.3	40.0		8.6	9.9		31.4
RTD (R & D)	5.6					5.6	6.2					6.2
<b>3. Productive Investment</b>	<b>68.9</b>	<b>0.0</b>	<b>20.1</b>	<b>20.7</b>	<b>0.0</b>	<b>48.8</b>	<b>111.9</b>	<b>0.0</b>	<b>36.9</b>	<b>34.6</b>	<b>0.0</b>	<b>76.0</b>
Agriculture/rural dev/fisheries	12.2		6.0	6.2		6.2	9.0		5.5	3.6	3.6	3.6
Industry	35.7		8.7	8.7		27.0	64.5		29.2	28.7		35.3
Services	0.0					0.0	0.0					0.0
Tourism	21.0		5.5	5.7		15.5	38.4		2.3	2.3		36.1
<b>4. Other</b>	<b>0.6</b>		<b>0.3</b>	<b>0.3</b>		<b>0.3</b>	<b>1.7</b>		<b>1.0</b>	<b>0.6</b>		<b>0.6</b>
<b>TOTAL</b>	<b>274.8</b>	<b>0.0</b>	<b>25.5</b>	<b>26.2</b>	<b>0.0</b>	<b>249.4</b>	<b>329.8</b>	<b>0.0</b>	<b>65.9</b>	<b>65.9</b>	<b>0.0</b>	<b>263.9</b>

## ENGLAND

**Financial Table summarising public or other equivalent structural expenditure in Objective 1 regions.**

### Total English Objective 1 SPDs

Million euro, 1999 prices

1	Annual Average 1994-1999						Annual Average 2000-2006					
	Total Eligible Public Exp.	Of which Public enterprises	CSF		Not EU co-financed	Total exc EU Col 2 less Col 4	Total eligible public exp	Of which public enterprises	CSF		Not EU co-financed	Total exc EU Col 8 less Col 10
			EU	National					EU	National		
	Nat + EU	Nat + EU	EU	Nat	Nat	Nat	Nat + EU	Nat + EU	EU	Nat	Nat	Nat
2	3	4	5	6	7	8	9	10	11	12	13	
<b>1. Basic Infrastructure</b>	<b>598.9</b>	<b>0.0</b>	<b>58.6</b>	<b>82.5</b>	<b>0.0</b>	<b>540.3</b>	<b>580.3</b>	<b>0.0</b>	<b>108.9</b>	<b>111.5</b>	<b>0.0</b>	<b>471.4</b>
Transport	430.3	0.0	34.4	59.3	0.0	395.9	354.6	0.0	57.9	60.5	0.0	296.7
Telecommunications (capital)	9.2	0.0	4.6	4.6	0.0	4.6	0.3	0.0	0.0	0.0	0.0	0.3
Energy (capital)	41.3	0.0	0.0	0.0	0.0	41.3	54.0	0.0	3.1	3.1	0.0	50.9
Environment & Water (capital)	118.1	0.0	19.6	18.6	0.0	98.6	171.4	0.0	47.9	47.9	0.0	123.5
Health (capital)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<b>2. Human Resources</b>	<b>907.6</b>	<b>0.0</b>	<b>113.1</b>	<b>121.6</b>	<b>0.0</b>	<b>794.5</b>	<b>899.2</b>	<b>0.0</b>	<b>120.5</b>	<b>121.8</b>	<b>0.0</b>	<b>778.7</b>
Education	563.0	0.0	0.0	0.0	0.0	563.9	528.8	0.0	0.0	0.0	0.0	528.8
Training	290.3	0.0	95.9	103.6	0.0	194.3	329.0	0.0	120.5	121.8	0.0	208.4
RTD (R & D)	54.3	0.0	17.2	17.8	0.0	37.2	41.4	0.0	0.0	0.0	0.0	41.4
<b>3. Productive Investment</b>	<b>418.0</b>	<b>0.0</b>	<b>115.8</b>	<b>115.7</b>	<b>0.0</b>	<b>302.2</b>	<b>652.0</b>	<b>0.0</b>	<b>167.0</b>	<b>163.6</b>	<b>0.0</b>	<b>485.1</b>
Agriculture/rural dev/fisheries	25.9	0.0	6.0	6.2	0.0	19.9	16.2	0.0	5.5	3.6	0.0	10.7
Industry	267.7	0.0	88.4	87.6	0.0	179.3	392.5	0.0	157.9	156.4	0.0	234.6
Services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Tourism	124.4	0.0	21.5	21.8	0.0	102.9	243.3	0.0	3.6	3.7	0.0	239.7
<b>4. Other</b>	<b>16.5</b>	<b>0.0</b>	<b>8.2</b>	<b>8.2</b>	<b>0.0</b>	<b>8.2</b>	<b>1.7</b>	<b>0.0</b>	<b>1.0</b>	<b>0.6</b>	<b>0.0</b>	<b>0.6</b>
<b>TOTAL</b>	<b>1941.1</b>	<b>0.0</b>	<b>295.8</b>	<b>327.9</b>	<b>0.0</b>	<b>1645.2</b>	<b>2133.2</b>	<b>0.0</b>	<b>397.5</b>	<b>397.5</b>	<b>0.0</b>	<b>1735.8</b>

**Additionality proved**